

# Ethics Evaluation and Risk Management System

The failure in ethics is one of the biggest risks faced by companies on an international and national level.

2023



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# What SNG Grant Thornton is providing

SNG Grant Thornton will assist companies to be agile, anticipate, plan, and have a good understanding of the ethics risks they face. Successful companies manage to rise above difficulties in the market and use the situation as an opportunity to make their operations more effective. These companies rise above the challenges by placing ethics central in their operations. Leading companies understand that ethics need to be integrated into compliance and enterprise risk management. Managing risks do not only relate to operational and business risks but include the identification and management of ethical dilemmas.

## The EEMS can provide the following:

- Assists management to identify and manage ethics and non-compliance risks.
- Provides an opportunity to integrate failures in ethics into an Ethics Risk Assessment Register.
- Analyse and review relevant policies and procedures.
- An integrated report based on the outcome of interviewing employees, completion of surveys, training and conducting seminars which are focused on case studies, containing real-life examples of ethical failures.
- Focus on understanding legal requirements pertaining to the whistleblowers process.
- Predictive analysis based on the outcome of ethics risk assessments.
- Support organisations to build or enhance an ethics culture that will ensure sustainability in the organisation and prevent ethics failures which could lead to reputational and financial risks.
- Evaluates the adequacy of organizational wide programs, policies and procedures, interventions and workshops to identify misalignment with the ethical culture.





# Enterprise Evaluation and Management System

## The Solution Benefits

### Creating a culture of ethics and compliance

- Creating an understanding of ethics and its practical application
- The risk management process implemented by a company is highly dependent on the ethical behavior of its senior management and the values of the company
- Development of Code of ethics and whistle-blowing
- Creating a culture of ethics and compliance
- Ethics management is not an isolated exercise and should be integrated into the company's risk management system

### Ethics Strategy Formulation

- Taking into account the outcomes of the Ethics assessment and audit process
- Current state of ethics in the company
- Previous reputational risks that the company may have faced, including current litigation
- Design of an ethics management plan with measurable objectives

### Creating a framework and Guidelines for understanding Ethics risk management

- EEMS provides a framework that allows the company to manage any unforeseen future events relating to ethics in such a manner that it limits the effect of possible consequences – goals and guidelines
- Standardized reports that track enterprise risks can improve the focus of directors and executives by providing data that enables better risk mitigation decisions.
- Introduction of governance structures and the management of ethics
- Compilation of an ethics risk register, building an ethics strategy, introducing ethics policies, institutionalizing ethics and the consistent monitoring and reporting on the status of ethics in the company

### Risk Management - Assessment

- Includes an assessment of ethics through surveys and ethics audits in a structured manner
- Establish an ethics risk profile
- Provides management with a clear understanding of the ethics risks faced by the company
- Identifies opportunities related to ethics that the company may want to explore

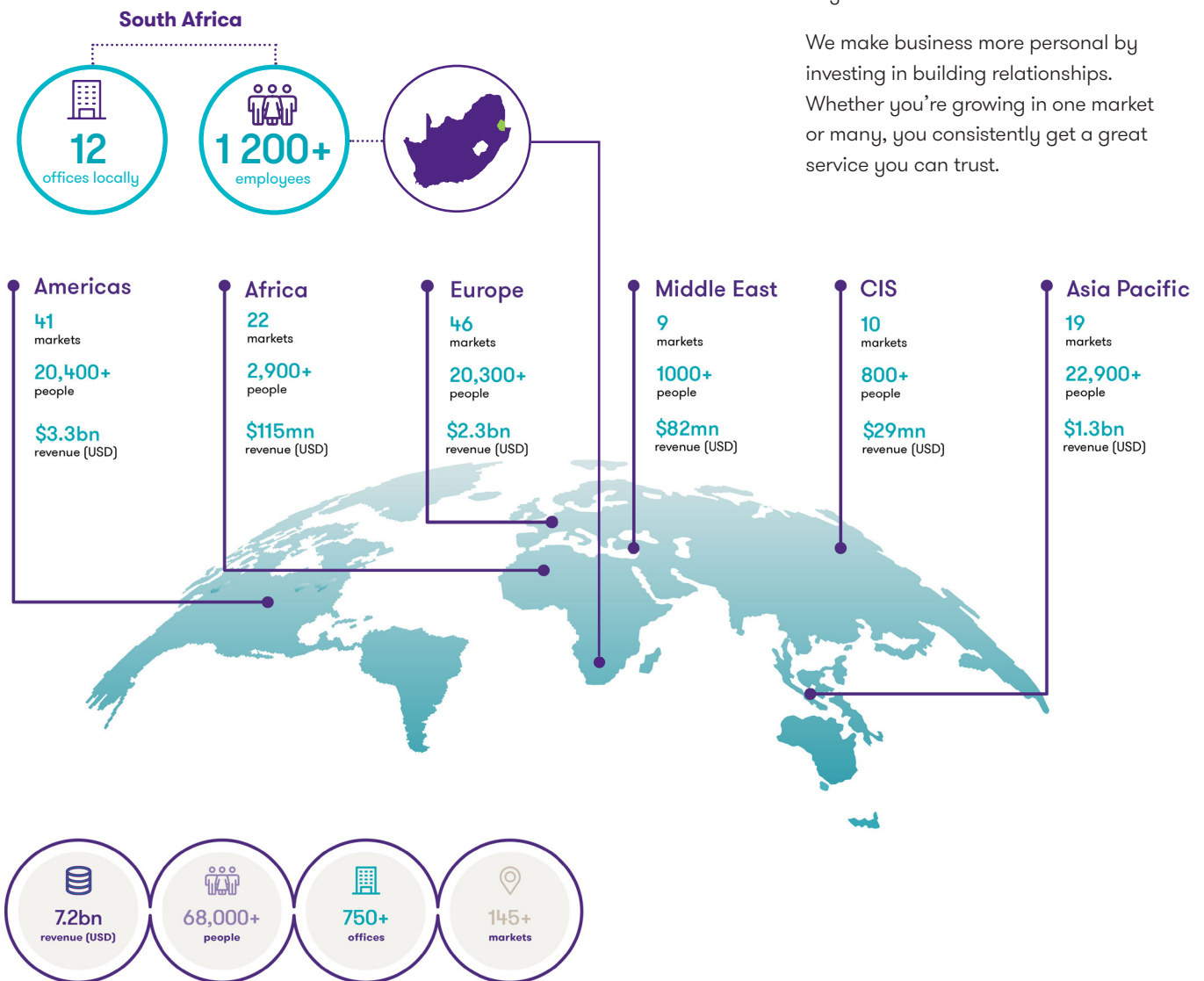
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For more than 100 years, we have helped dynamic organisations realise their strategic ambitions by combining global scale and capacity with our local insight.

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# Local feel, global team

Your business needs support that goes beyond a more personal, proactive, and agile approach.



**Victor Sekese**  
Chief Executive  
SNG Grant Thornton

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Proactive teams led by our approachable partners, use insights and experience to understand complex issues for privately owned, publicly listed and public sector clients and help them to find solutions.

Our **CLEAR** values steer us to **Collaborate** with **Leaders** in a courageous way to deliver an **Excellent** standard of quality services and an exclusive client experience in an **Agile** environment. We **Respect** and honor our client commitments and take **Responsibility** for our actions.

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## Purpose Statement

Rooted in Africa, we exist to build trust, support you to navigate change and create sustainable impact in business and communities.



## Vision Statement

To be the preferred firm for innovative solutions and global talent.



## Mission Statement

- We transcend business as usual to deliver a more co-created personal, agile and pro-active experience
- We use technology to drive efficiency and effectiveness
- We attract, develop and retain global talent
- We support and empower our communities.

So **ultimately**, our people, clients and communities can positively shape tomorrow.



# Contacts



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Let us help you Go Beyond business as usual.

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